

FORM PTO-1390 (Modified) (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 294-88
<b>TRANSMITTAL LETTER TO THE UNITED STATES</b> <b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/445874</b>
INTERNATIONAL APPLICATION NO. <b>PCT/NL98/00325</b>	INTERNATIONAL FILING DATE <b>03 June 1998</b>	PRIORITY DATE CLAIMED <b>04 June 1997</b>		
TITLE OF INVENTION <b>A Diagnostic Test Kit for Determining a Predisposition for Breast and Ovarian Cancer, Materials and Methods for Such Determination</b>				
APPLICANT(S) FOR DO/EO/US <b>Egbert BAKKER, Peter DEVILEE, Anne PETRIJ-BOSCH and Garit-Jan VAN OMMEN</b>				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ul style="list-style-type: none"><li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li><li>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li><li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li><li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<ul style="list-style-type: none"><li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li></ul></li><li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li><li>7. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li><li>8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<ul style="list-style-type: none"><li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li><li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li><li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li><li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li></ul></li><li>9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li><li>10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li><li>11. <input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li><li>12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li></ul>				
Items 13 to 18 below concern document(s) or information included:				
<ul style="list-style-type: none"><li>13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li><li>14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li><li>15. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment. A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li><li>16. <input type="checkbox"/> A substitute specification.</li><li>17. <input type="checkbox"/> A change of power of attorney and/or address letter.</li><li>18. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li><li>19. <input type="checkbox"/> Other items or information:</li></ul>				

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492 (a)(1) - (5)) : <b>09/445174</b>	INTERNATIONAL APPLICATION NO. PCT/NL98/00325	ATTORNEY'S DOCKET NUMBER 294-78
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20. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

- |  |          |
|--|----------|
| <input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO .....  | \$840.00 |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) .....  | \$670.00 |
| <input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... | \$760.00 |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....          | \$970.00 |
| <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....       | \$96.00  |

**ENTER APPROPRIATE BASIC FEE AMOUNT =****CALCULATIONS PTO USE ONLY**

\$840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	15 - 20 =	0	x \$18.00
Independent claims	3 - 3 =	0	x \$78.00
Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>

\$0.00

\$0.00

\$260.00

**TOTAL OF ABOVE CALCULATIONS =**

\$1,100.00

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).

☐

\$0.00

**SUBTOTAL =**

\$1,100.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

**TOTAL NATIONAL FEE =**

\$1,100.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).

☐

\$0.00

**TOTAL FEES ENCLOSED =**

\$1,100.00

Amount to be:	\$
refunded	
charged	\$

☒ A check in the amount of **\$1,100.00** to cover the above fees is enclosed.

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **08-2461** A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

Ronald J. Baron  
Hoffmann & Baron, LLP  
6900 Jericho Turnpike  
Syosset, New York 11791

Telephone: 516-822-3550  
Facsimile: 516-822-3582

SIGNATURE

Ronald J. Baron

NAME

29,281

REGISTRATION NUMBER

03 December 1999

DATE

294-78

001 445174  
520 Rec'd PCT/PTO 03 DEC 1999  
PATENT

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

IN RE APPLICATION OF  
EGBERT BAKKER, ET AL.

U.S. NATIONAL PHASE OF  
INTERNATIONAL APPLICATION  
NUMBER PCT/NL98/00325

INTERNATIONAL FILING DATE:  
03 JUNE 1998

FOR: A DIAGNOSTIC TEST KIT FOR  
DETERMINING A  
PREDISPOSITION FOR BREAST  
AND OVARIAN CANCER,  
MATERIALS AND METHODS FOR  
SUCH DETERMINATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

**EXPRESS MAIL  
CERTIFICATE OF MAILING  
FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" mailing label number: EL509431015US

Date of Deposit: 03 December 1999

I hereby certify that the documents enclosed herewith, namely A Transmittal Letter to the United States Designated/Elected Office, with enclosures as listed therein, are deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Cassandra Lems  
Printed Name of person mailing paper

Cassandra Lems  
Signature of person mailing paper